

Measuring and Mitigating Item Under-Recommendation Bias in Personalized Ranking Systems

Ziwei Zhu, Jianling Wang, and James Caverlee

Texas A&M University

Recommenders – essential conduits



Algorithmic bias in recommenders

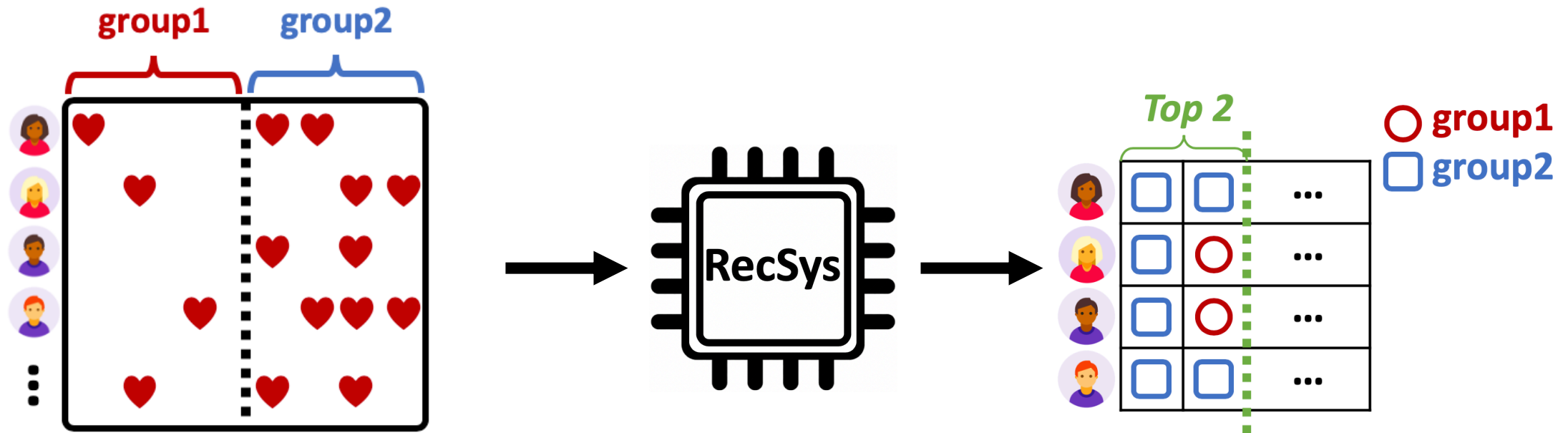


Item groups are under-recommended

Due to i) the imbalanced distribution of feedback for different item groups;

ii) the unawareness of bias in recommendation algorithm;

Items from some groups will be under-recommended compared to other popular item groups.



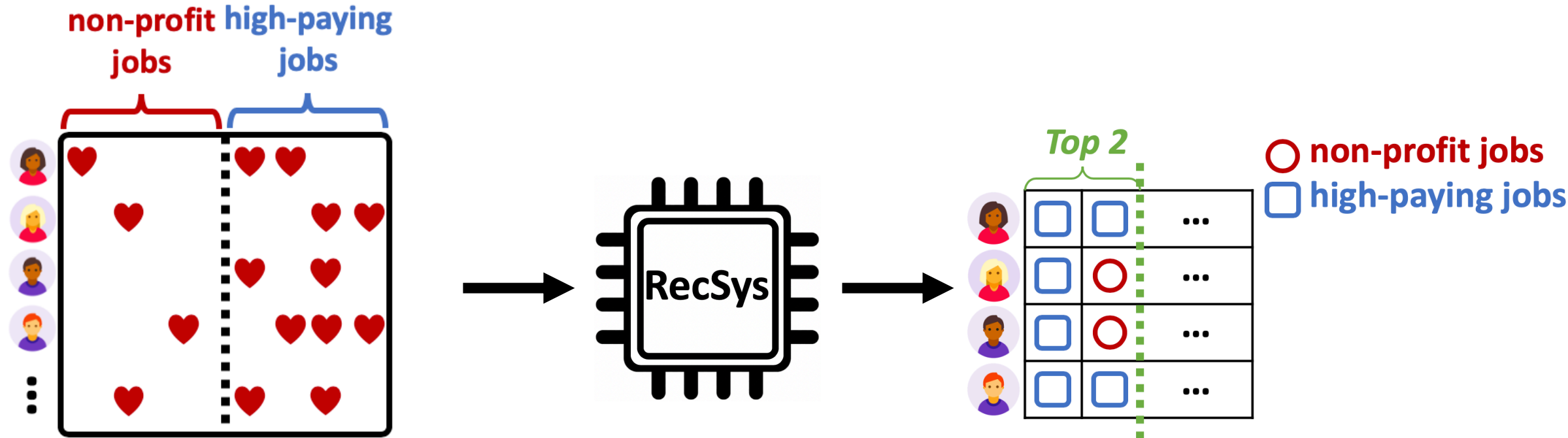
Imbalanced distribution of feedback for item groups.

Model without awareness of bias.

Items in group1 are under-recommended

Item groups are under-recommended

Example: when recommend jobs to users, **non-profit jobs** are under-recommended compared with **high-paying jobs**.



Imbalanced distribution of feedback for item groups.

Model without awareness of bias.

Non-profit jobs are under-recommended

Previous works

- Measure the bias on predicted scores of item groups.
- Measure the bias based on the concept of statistical parity.
- No bias: $P(\text{score}|\text{group1}) = P(\text{score}|\text{group2}) = \dots = P(\text{score}|\text{groupA})$

Previous works

- Measure the bias based on predicted scores of item groups.
- Predicted score is the intermedia step towards the rankings, thus, unbiased scores do not necessarily lead to unbiased recommendation.
- Measure the bias based on the concept of statistical parity.
- No bias: $P(\text{score}|\text{group1}) = P(\text{score}|\text{group2}) = \dots = P(\text{score}|\text{groupA})$

Previous works

- Measure the bias based on predicted scores of item groups.
- Predicted score is the intermedia step towards the rankings, thus, unbiased scores do not necessarily lead to unbiased recommendation.
- Measure the bias based on the concept of statistical parity.
- Statistical Parity is too strict for scenarios where there is no sensitive attributes for items (like books or movies).
- No bias: $P(\text{score}|\text{group1}) = P(\text{score}|\text{group2}) = \dots = P(\text{score}|\text{groupA})$

Previous works

- Measure the bias based on predicted scores of item groups.
 - Predicted score is the intermedia step towards the rankings, thus, unbiased scores do not necessarily lead to unbiased recommendation.
 - Measure the bias based on the concept of statistical parity.
 - Statistical Parity is too strict for scenarios where there is no sensitive attributes for items (like books or movies).
- No bias: $P(\text{score}|\text{group1}) = P(\text{score}|\text{group2}) = \dots = P(\text{score}|\text{groupA})$
- Therefore, bias measurements based on **ranking** and other **bias concepts** are in need.

Contributions

- Propose the **ranking-based statistical parity (RSP)** measurement;
- Propose the **ranking-based equal opportunity (REO)** measurement;
- Propose the **Debiased Personalized Ranking (DPR)** model;
- Empirically demonstrate that the fundamental recommendation model – Bayesian Personalized Ranking (BPR) – is vulnerable to the under-recommendation bias, and show the effectiveness of the proposed DPR.

Ranking-based Statistical Parity (RSP)

$$P(\textit{score}|\textit{group}1) = P(\textit{score}|\textit{group}2) = \dots = P(\textit{score}|\textit{group}A)$$

Predicted scores are intermedia steps towards rankings, which serve as the final recommendation results. Thus,

unbiased predicted scores \neq unbiased rankings

Ranking-based Statistical Parity (RSP)

RSP measures the recommendation probability (probability to be ranked in top-k) difference across different item groups.

$$P(\text{top}k|\text{group}1) = P(\text{top}k|\text{group}2) = \dots = P(\text{top}k|\text{group}A)$$

RSP

RSP is especially important when the item groups are determined by **sensitive attributes** (for example, gender or race when people are recommended) because low recommendation probability for specific sensitive groups will result in **social unfairness issues**.

$$P(\text{top}k|\text{group}1) = P(\text{top}k|\text{group}2) = \dots = P(\text{top}k|\text{group}A)$$

RSP – motivating example

Example: Recommend job candidates to companies



$$P(\textit{recommend} | \text{♂}) = 0.6$$



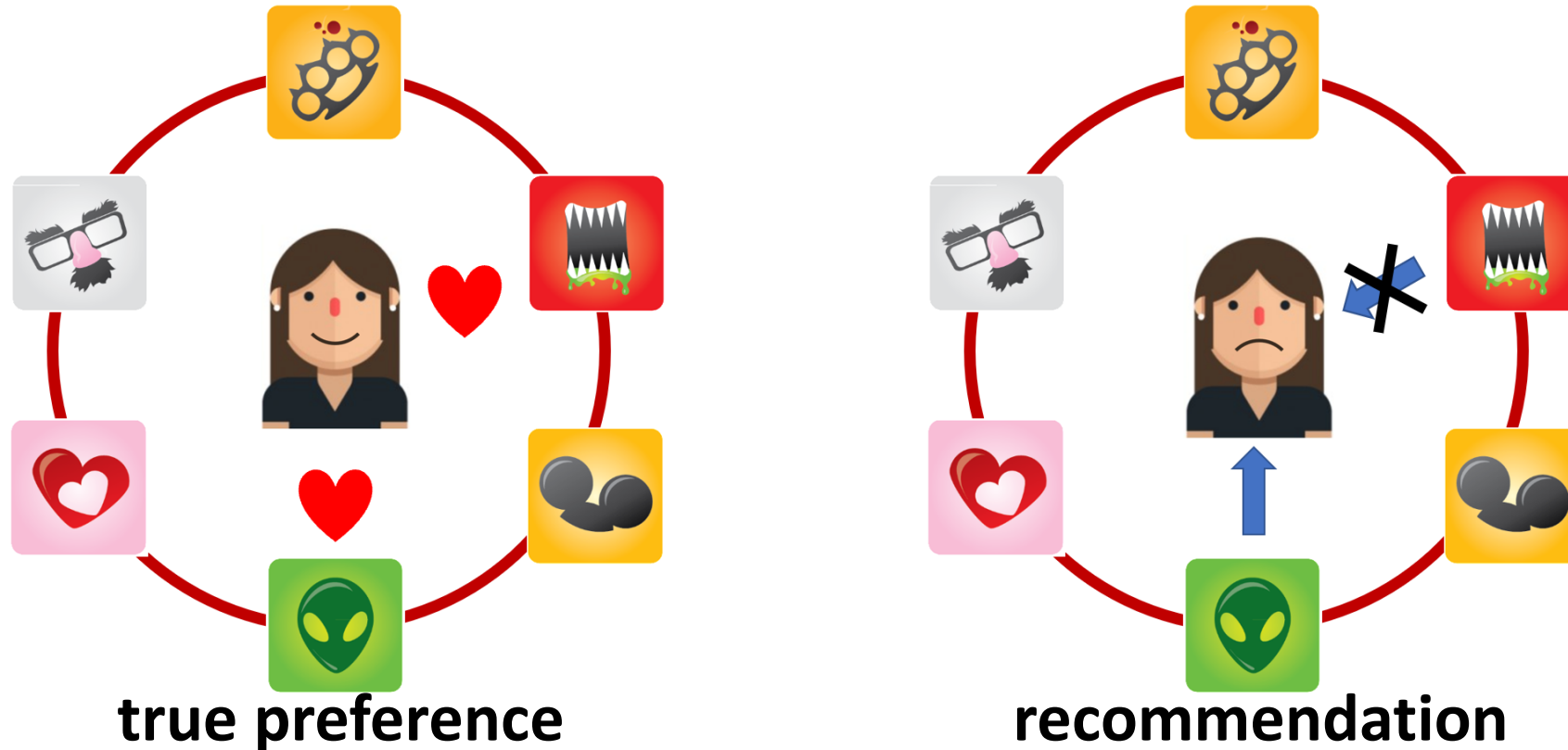
$$P(\textit{recommend} | \text{♀}) = 0.2$$



Unfair for female candidates.

Ranking-based Equal Opportunity (REO)

For a **more general RecSys**, we do not require statistical parity, but want the RecSys to be driven by **user preference** and the user has the same chance to see items from different groups as long as she likes them (the **same true positive rate** across item groups).



Ranking-based Equal Opportunity (REO)

REO measures the true positive rate difference across item groups.

$$P(\text{top}k|\text{group}1\&\textit{liked}) = \dots = P(\text{top}k|\text{group}A\&\textit{liked})$$

REO – motivating example

Example: Recommend movies to users

horror and sci-fi
movies lover



$$p(\text{recommend}|\text{horror}\&\text{liked}) = 0.3$$



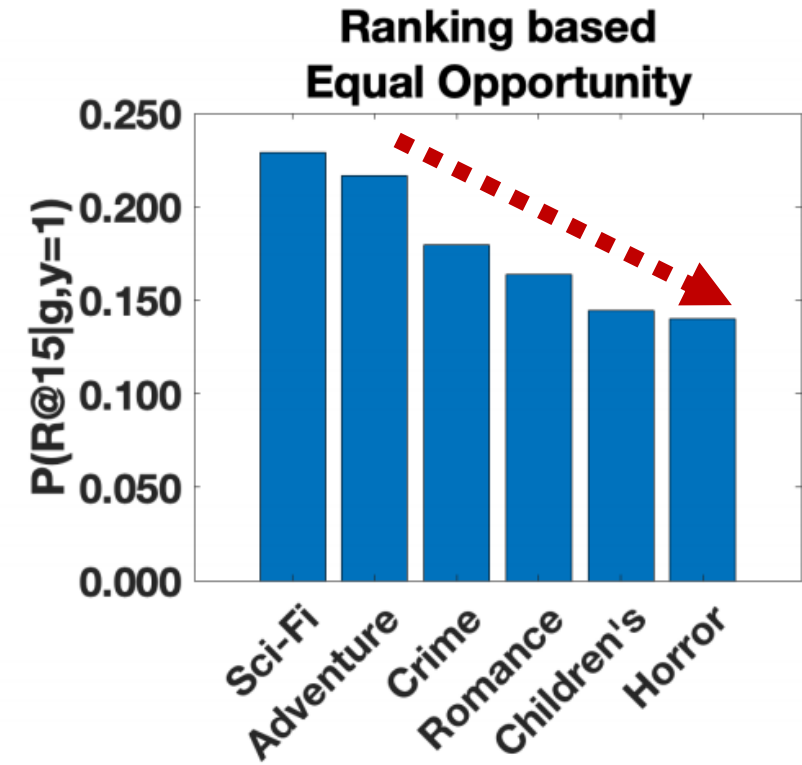
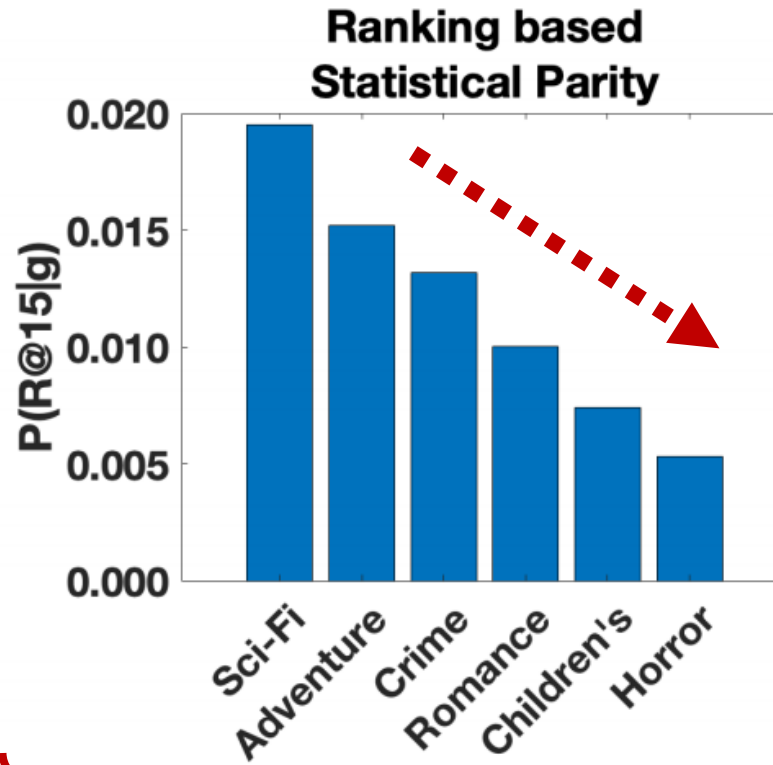
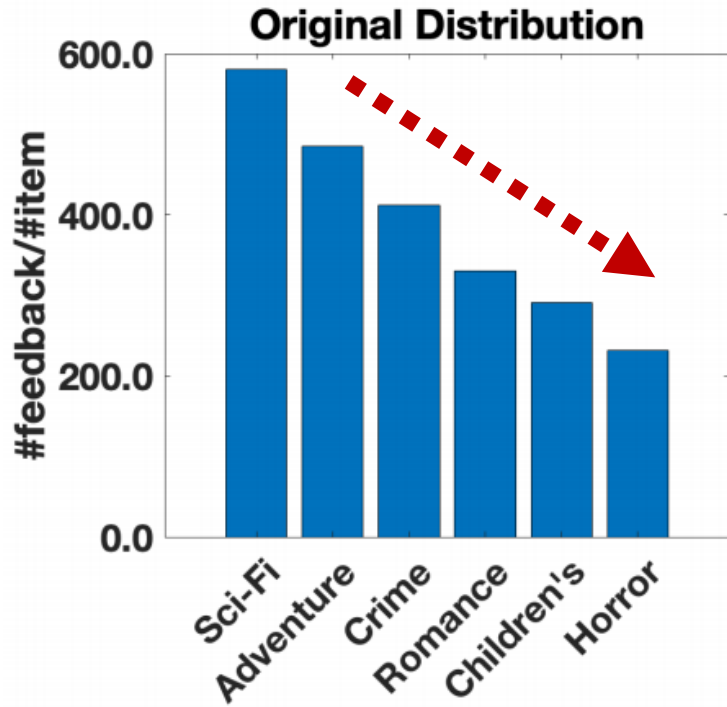
$$p(\text{recommend}|\text{sci} - \text{fi}\&\text{liked}) = 0.9$$



For a long time, horror movies will get **fewer and fewer feedback**, which is harmful for both horror movie lovers and movies providers.

Data-driven study - MovieLens

BPR generates RSP and REO based bias



Results by Bayesian Personalized Ranking (BPR)

Debiased Personalized Ranking (DPR) Model

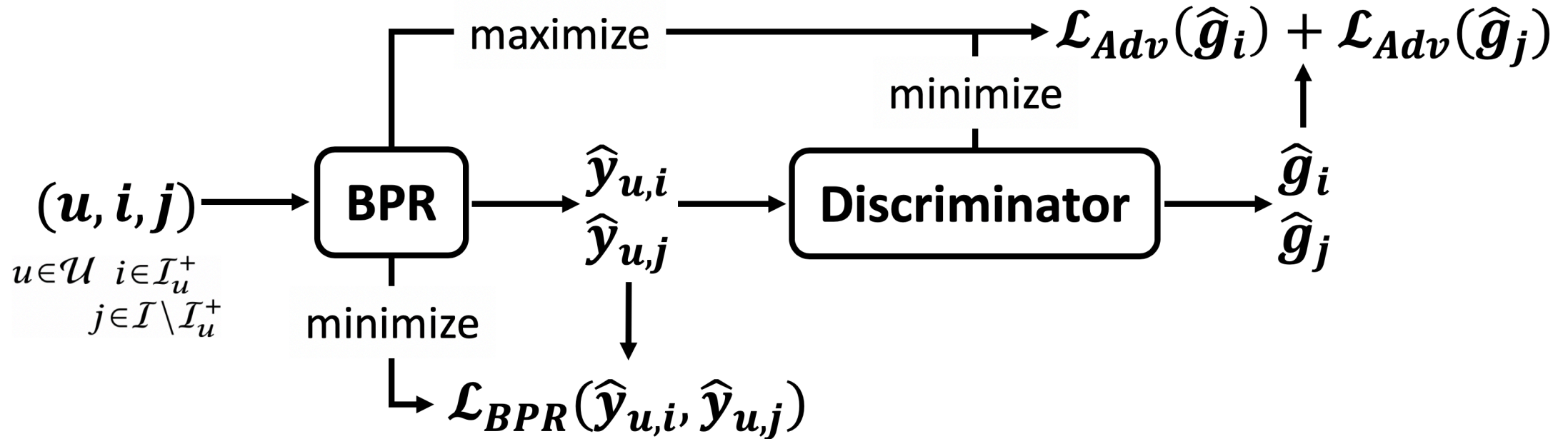
To mitigate RSP based bias:

- Decouple the predicted score with group attribute;
- Normalize the score distribution for each user to align predict score with ranking position.

Debiased Personalized Ranking (DPR) Model

To mitigate RSP based bias:

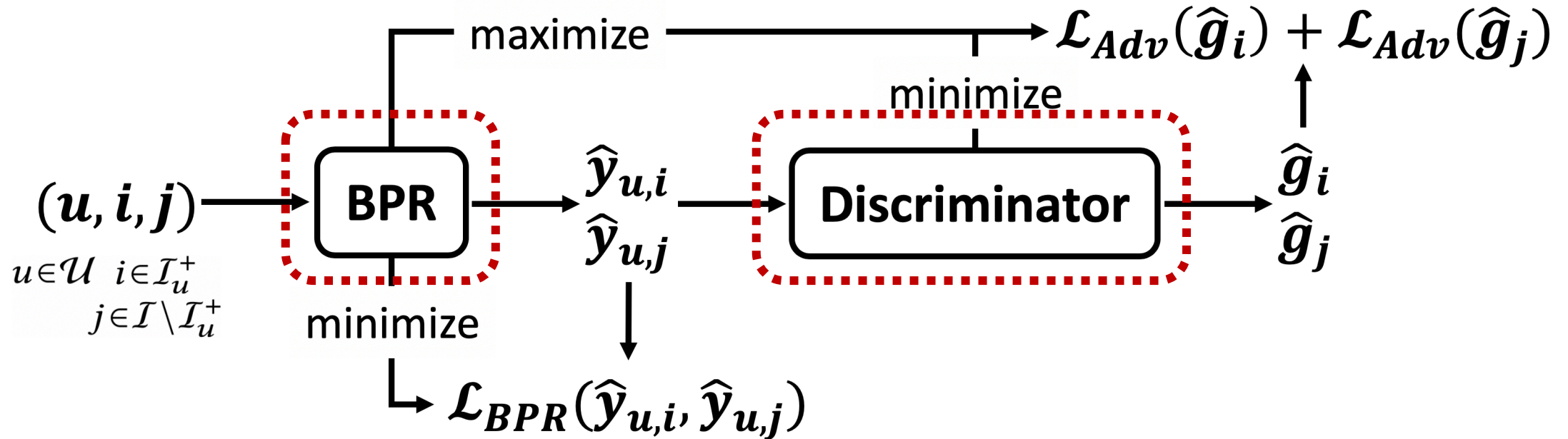
- **Decouple the predicted score with group attribute;**
- Normalize the score distribution for each user to align predict score with ranking position.



Debiased Personalized Ranking (DPR) Model

To mitigate RSP based bias:

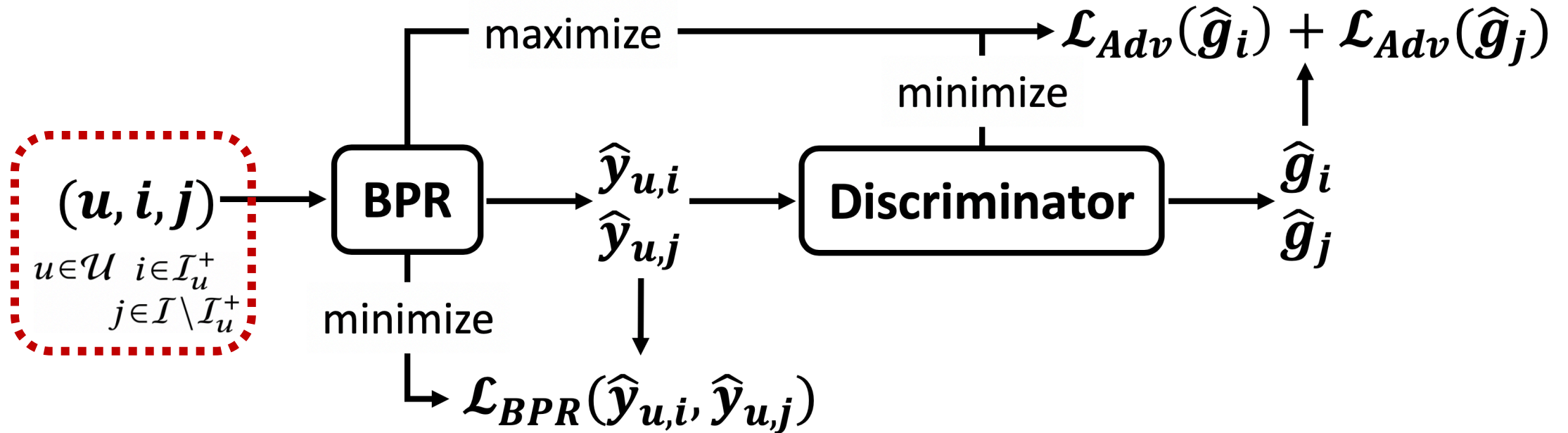
- **Decouple the predicted score with group attribute;**
- Normalize the score distribution for each user to align predict score with ranking position.



Debiased Personalized Ranking (DPR) Model

To mitigate RSP based bias:

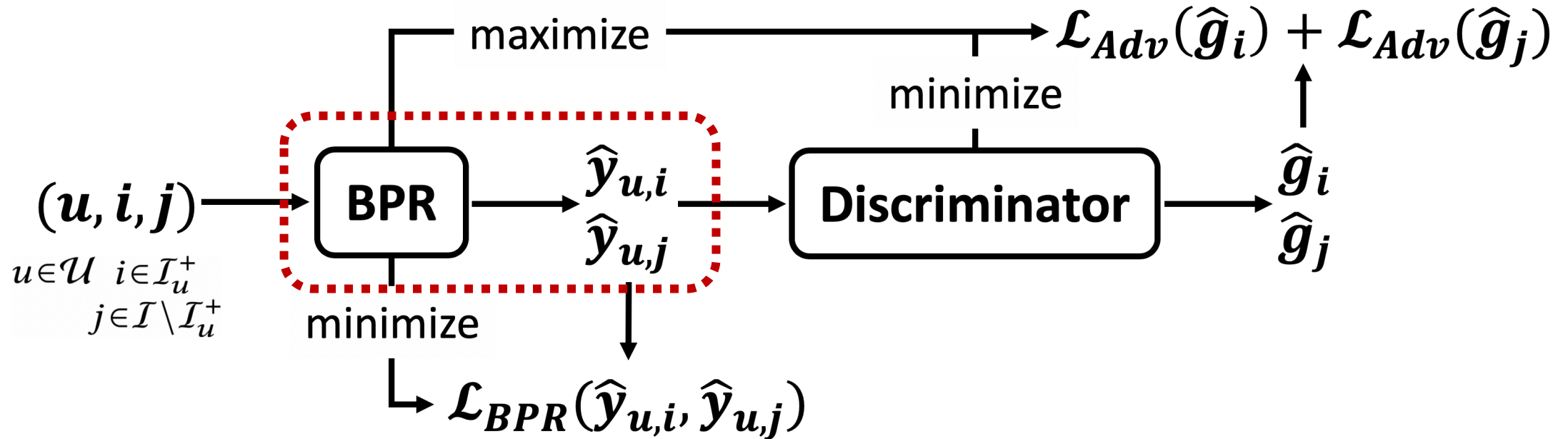
- **Decouple the predicted score with group attribute;**
- Normalize the score distribution for each user to align predict score with ranking position.



Debiased Personalized Ranking (DPR) Model

To mitigate RSP based bias:

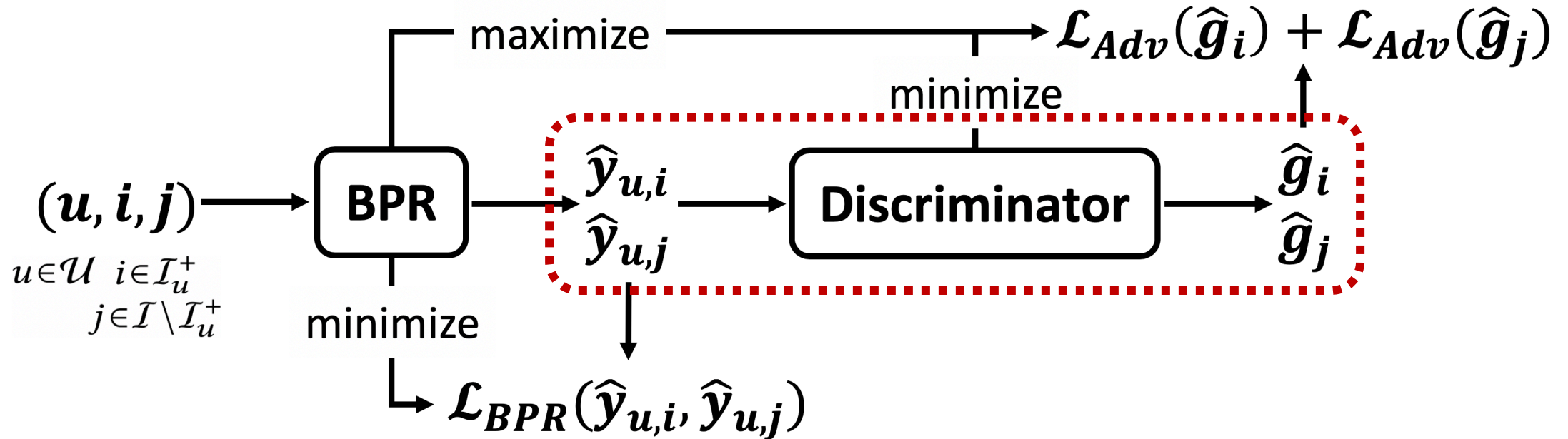
- **Decouple the predicted score with group attribute;**
- Normalize the score distribution for each user to align predict score with ranking position.



Debiased Personalized Ranking (DPR) Model

To mitigate RSP based bias:

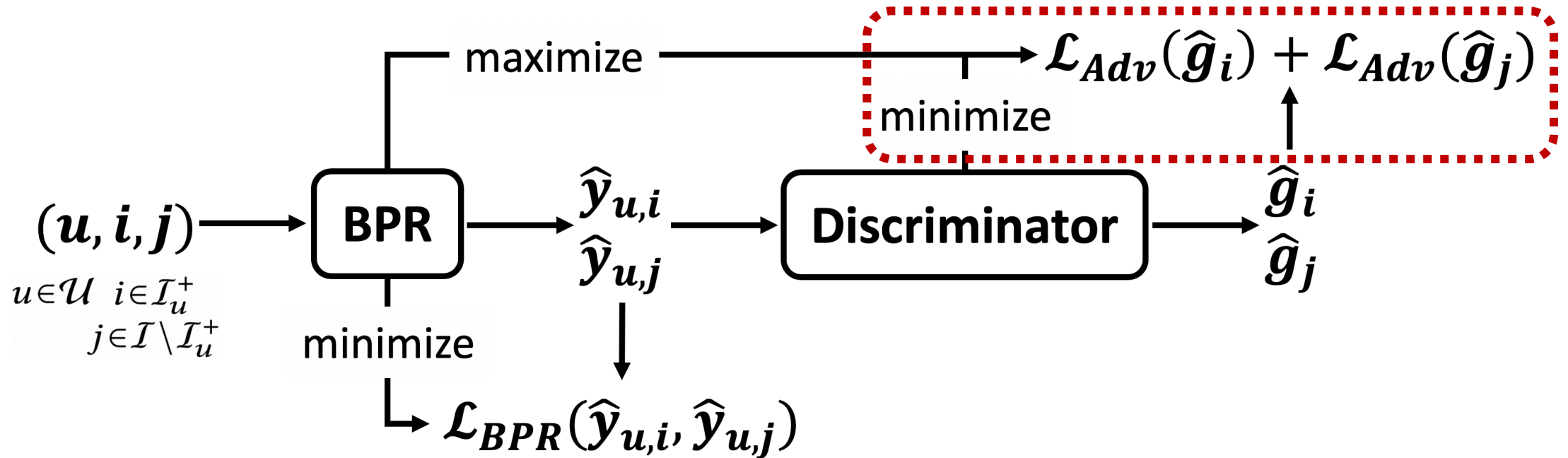
- **Decouple the predicted score with group attribute;**
- Normalize the score distribution for each user to align predict score with ranking position.



Debiased Personalized Ranking (DPR) Model

To mitigate RSP based bias:

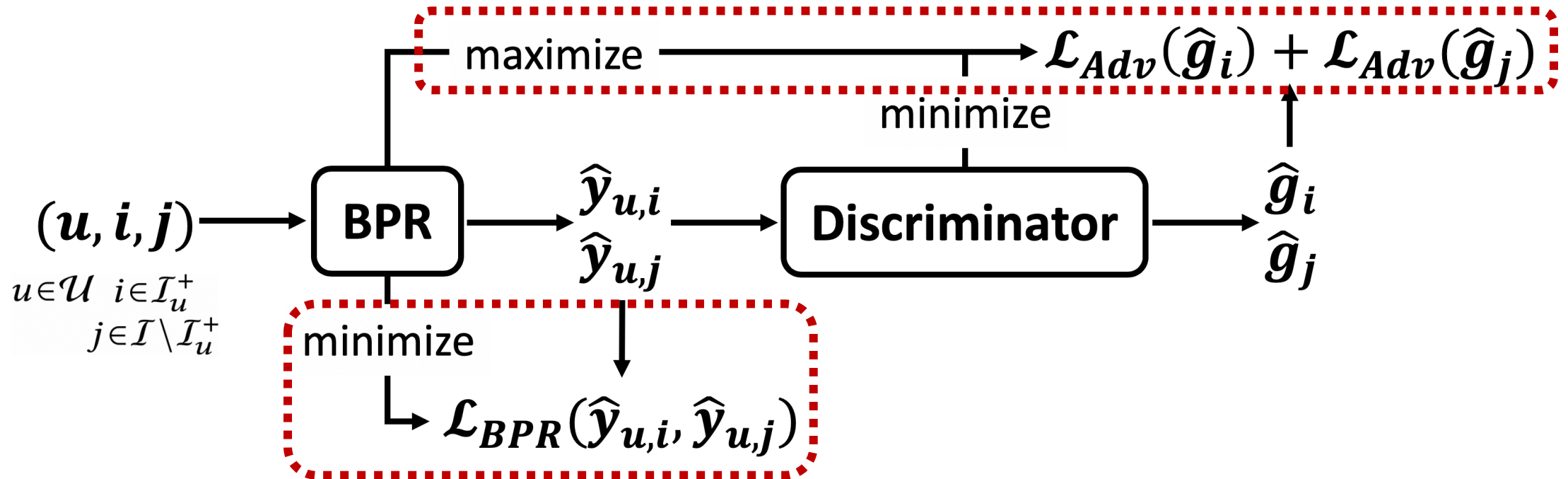
- **Decouple the predicted score with group attribute;**
- Normalize the score distribution for each user to align predict score with ranking position.



Debiased Personalized Ranking (DPR) Model

To mitigate RSP based bias:

- **Decouple the predicted score with group attribute;**
- Normalize the score distribution for each user to align predict score with ranking position.



Debiased Personalized Ranking (DPR) Model

To mitigate RSP based bias:

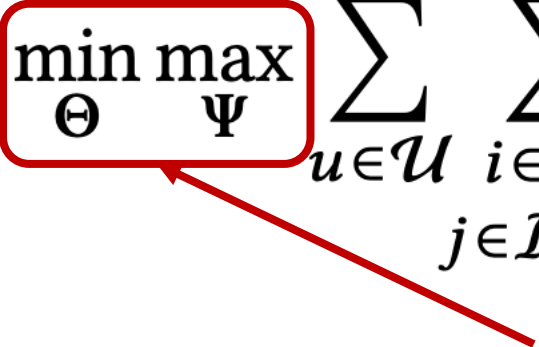
- **Decouple the predicted score with group attribute;**
- Normalize the score distribution for each user to align predict score with ranking position.

$$\min_{\Theta} \max_{\Psi} \sum_{u \in \mathcal{U}} \sum_{\substack{i \in \mathcal{I}_u^+ \\ j \in \mathcal{I} \setminus \mathcal{I}_u^+}} (\mathcal{L}_{BPR}(u, i, j) + \alpha(\mathcal{L}_{Adv}(i) + \mathcal{L}_{Adv}(j))) + \beta \mathcal{L}_{KL}$$

Debiased Personalized Ranking (DPR) Model

To mitigate RSP based bias:

- **Decouple the predicted score with group attribute;**
- Normalize the score distribution for each user to align predict score with ranking position.

$$\min_{\Theta} \max_{\Psi} \sum_{u \in \mathcal{U}} \sum_{\substack{i \in \mathcal{I}_u^+ \\ j \in \mathcal{I} \setminus \mathcal{I}_u^+}} (\mathcal{L}_{BPR}(u, i, j) + \alpha(\mathcal{L}_{Adv}(i) + \mathcal{L}_{Adv}(j))) + \beta \mathcal{L}_{KL}$$


Play a minimax game between the BPR component (with parameter set Θ) and the adversarial component (with parameter set Ψ).

Debiased Personalized Ranking (DPR) Model

To mitigate RSP based bias:

- **Decouple the predicted score with group attribute;**
- Normalize the score distribution for each user to align predict score with ranking position.

$$\min_{\Theta} \max_{\Psi} \sum_{u \in \mathcal{U}} \sum_{\substack{i \in \mathcal{I}_u^+ \\ j \in \mathcal{I} \setminus \mathcal{I}_u^+}} (\mathcal{L}_{BPR}(u, i, j) + \alpha(\mathcal{L}_{Adv}(i) + \mathcal{L}_{Adv}(j))) + \beta \mathcal{L}_{KL}$$

Conventional BPR loss for a user u
with one positive item i and one
negative item j :

$$\mathcal{L}_{BPR}(u, i, j) = -\ln \sigma(\hat{y}_{u,i} - \hat{y}_{u,j}) + \frac{\lambda_{\Theta}}{2} \|\Theta\|_F^2$$

Debiased Personalized Ranking (DPR) Model

To mitigate RSP based bias:

- **Decouple the predicted score with group attribute;**
- Normalize the score distribution for each user to align predict score with ranking position.

$$\min_{\Theta} \max_{\Psi} \sum_{u \in \mathcal{U}} \sum_{\substack{i \in \mathcal{I}_u^+ \\ j \in \mathcal{I} \setminus \mathcal{I}_u^+}} (\mathcal{L}_{BPR}(u, i, j) + \alpha(\mathcal{L}_{Adv}(i) + \mathcal{L}_{Adv}(j))) + \beta \mathcal{L}_{KL}$$

The adversarial component takes predicted score as input and predict the group of the given item. Train the adversarial component by

$$\max_{\Psi} \mathcal{L}_{Adv}(i) = \sum_{a=1}^A (\mathbf{g}_{i,a} \log \widehat{\mathbf{g}}_{i,a} + (1 - \mathbf{g}_{i,a}) \log (1 - \widehat{\mathbf{g}}_{i,a}))$$

Debiased Personalized Ranking (DPR) Model

To mitigate RSP based bias:

- Decouple the predicted score with group attribute;
- **Normalize the score distribution for each user to align predict score with ranking position.**

$$\min_{\Theta} \max_{\Psi} \sum_{u \in \mathcal{U}} \sum_{\substack{i \in \mathcal{I}_u^+ \\ j \in \mathcal{I} \setminus \mathcal{I}_u^+}} (\mathcal{L}_{BPR}(u, i, j) + \alpha(\mathcal{L}_{Adv}(i) + \mathcal{L}_{Adv}(j))) + \beta \mathcal{L}_{KL}$$

Minimize the KL divergence between the score distribution of each user and the standard normal distribution to normalize score distribution for users:

$$\mathcal{L}_{KL} = \sum_{u \in \mathcal{U}} D_{KL}(q_{\Theta}(u) || \mathcal{N}(0, 1))$$

Debiased Personalized Ranking (DPR) Model

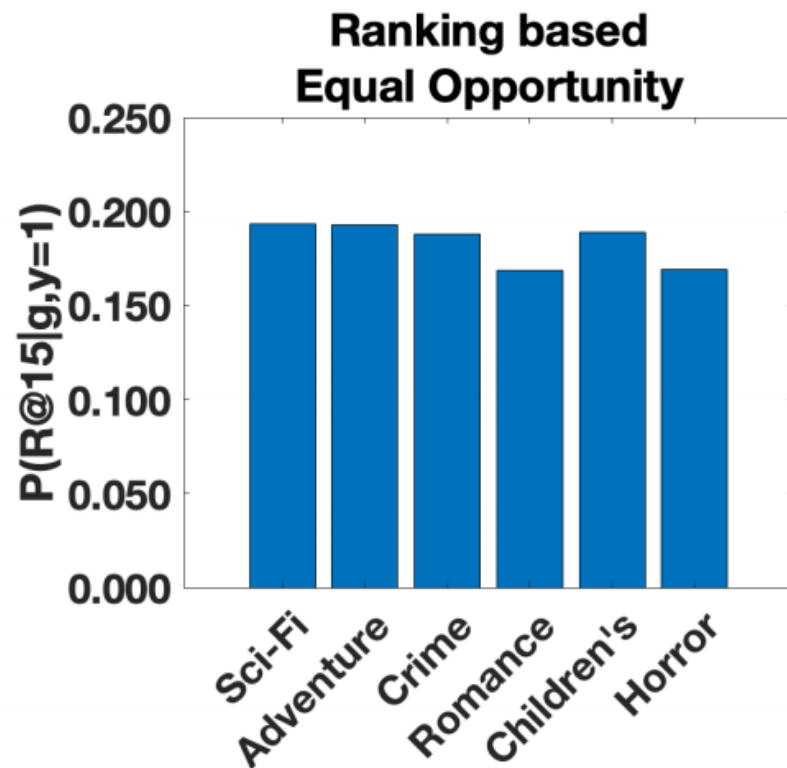
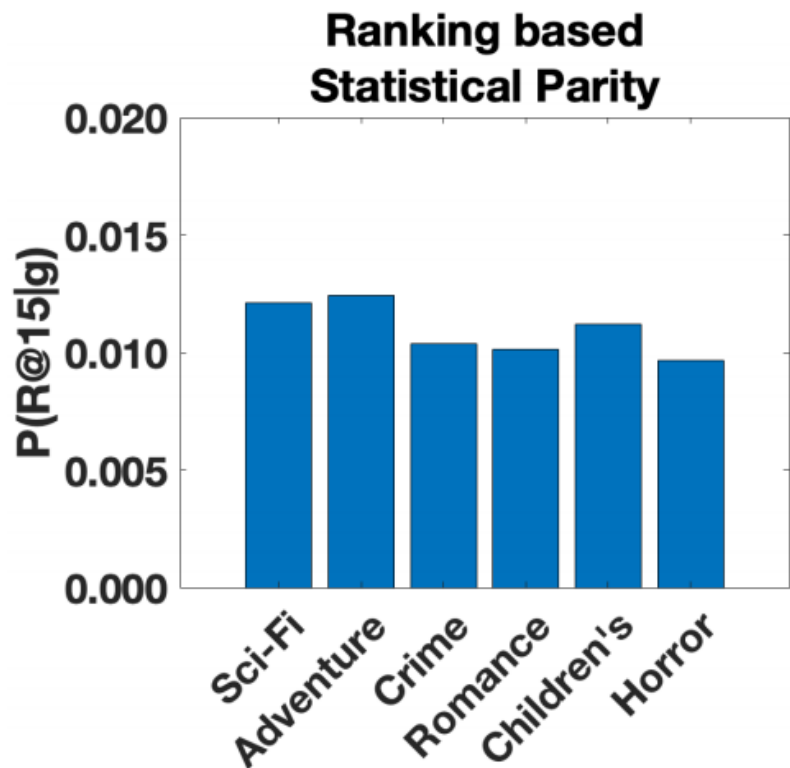
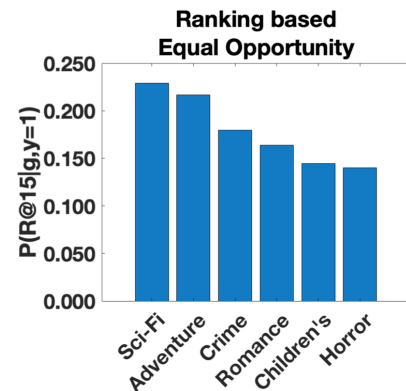
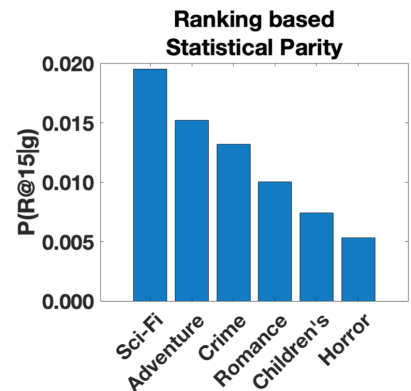
To mitigate REO based bias:

- Decouple the group attribute with the predicted score for **positive user-item pair**;
- Normalize the score distribution for each user to align predict score with ranking position.

$$\min_{\Theta} \max_{\Psi} \sum_{u \in \mathcal{U}} \sum_{\substack{i \in \mathcal{I}_u^+ \\ j \in \mathcal{I} \setminus \mathcal{I}_u^+}} (\mathcal{L}_{BPR}(u, i, j) + \alpha \mathcal{L}_{Adv}(i)) + \beta \mathcal{L}_{KL}$$

Only input scores for positive user-item pairs to the adversarial component.

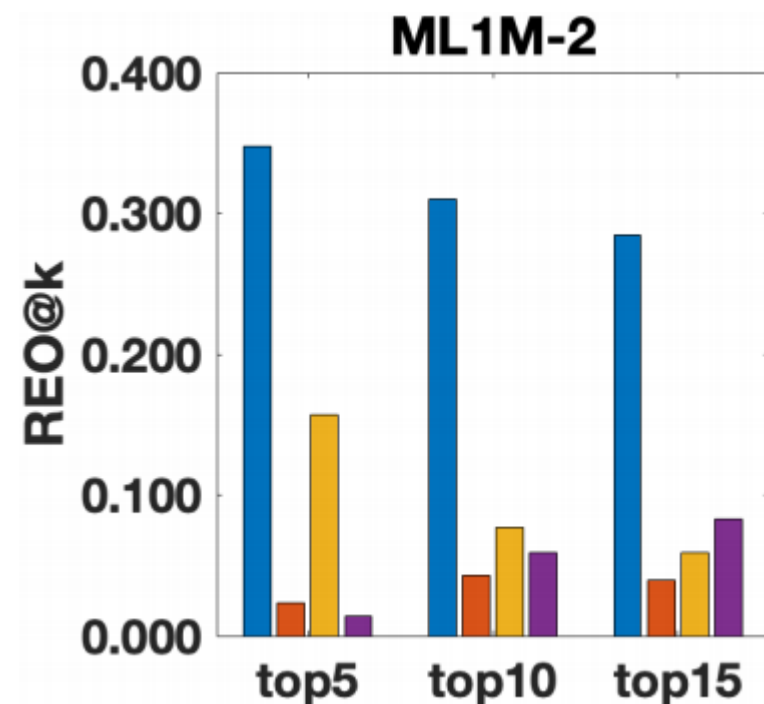
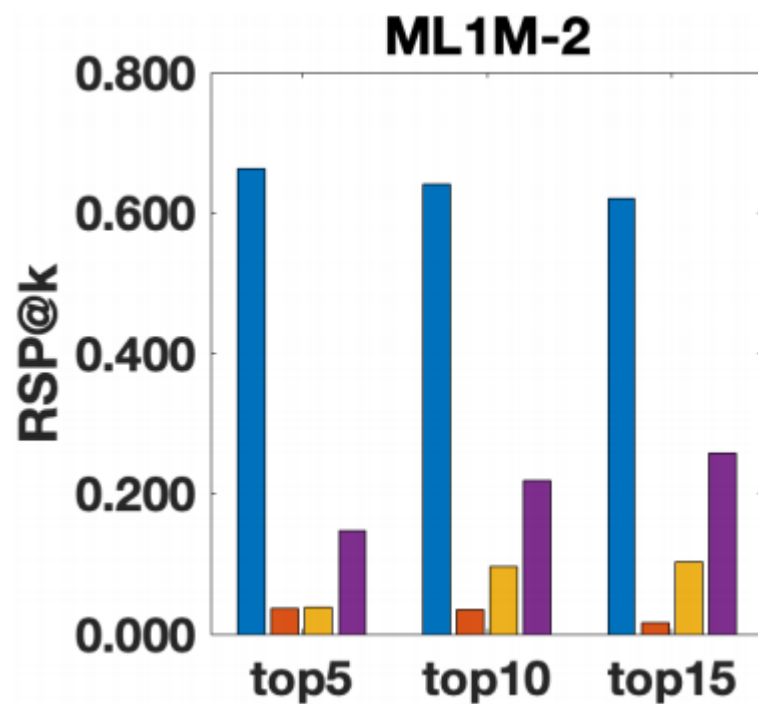
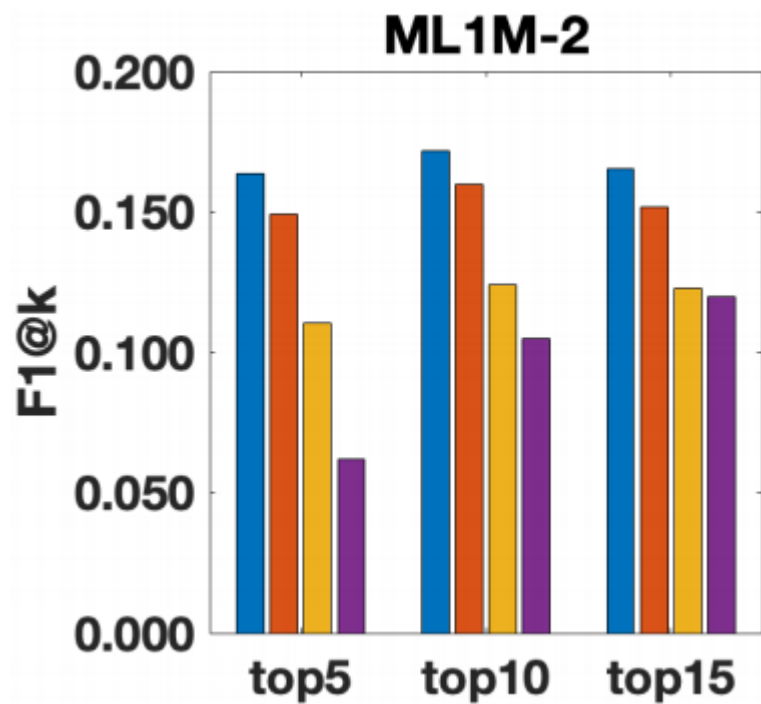
Experiments – visualize debiased results



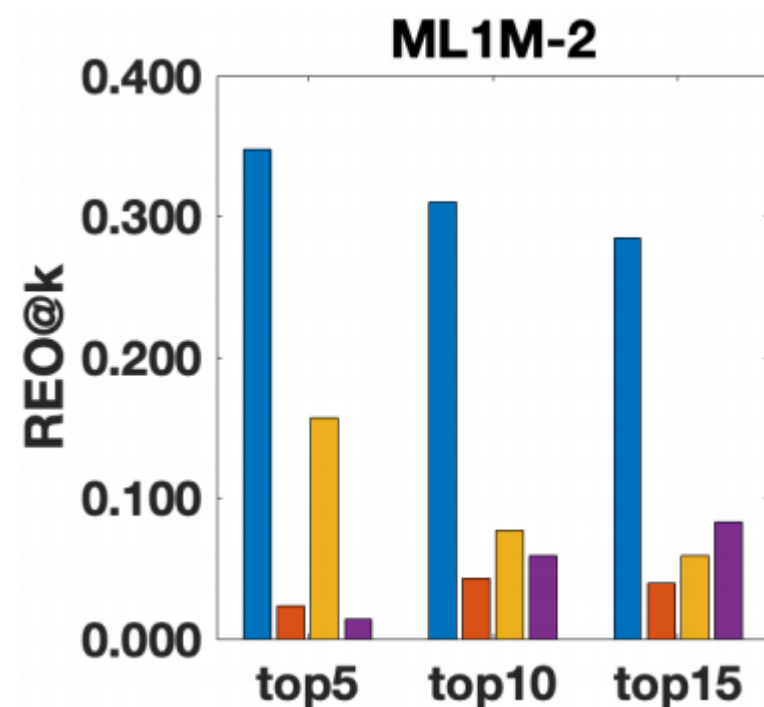
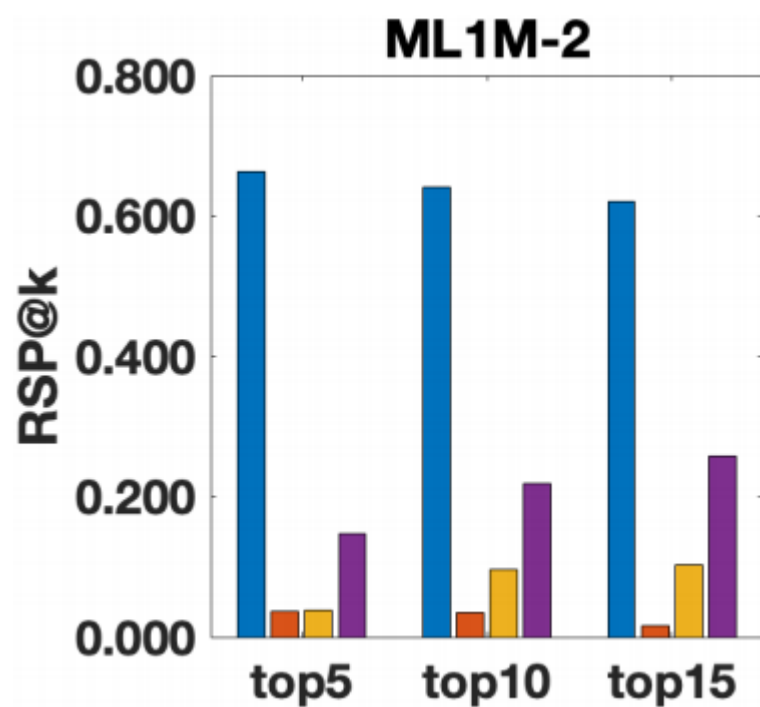
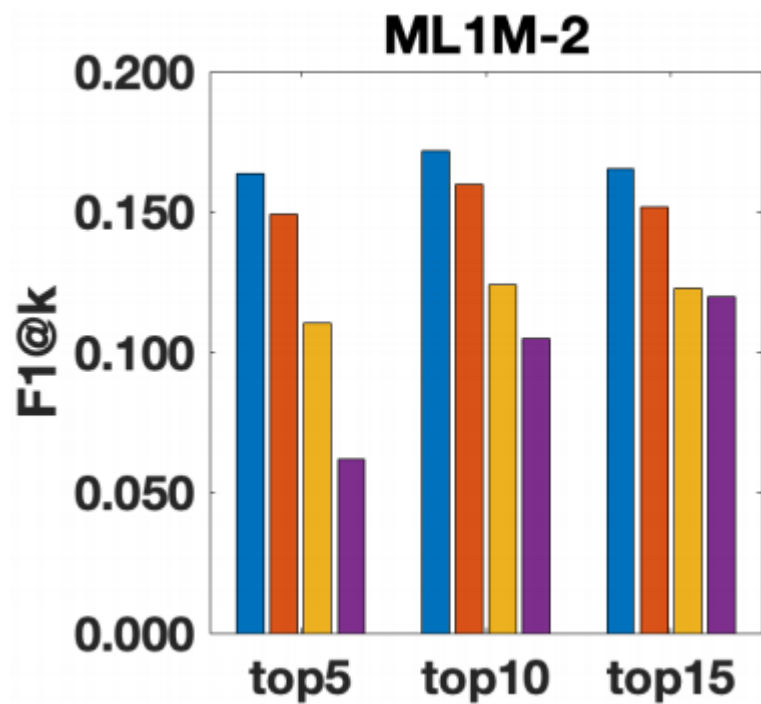
by the proposed DPR

Experiments – compare with baselines

BPR **DPR** **FATR** **Reg**

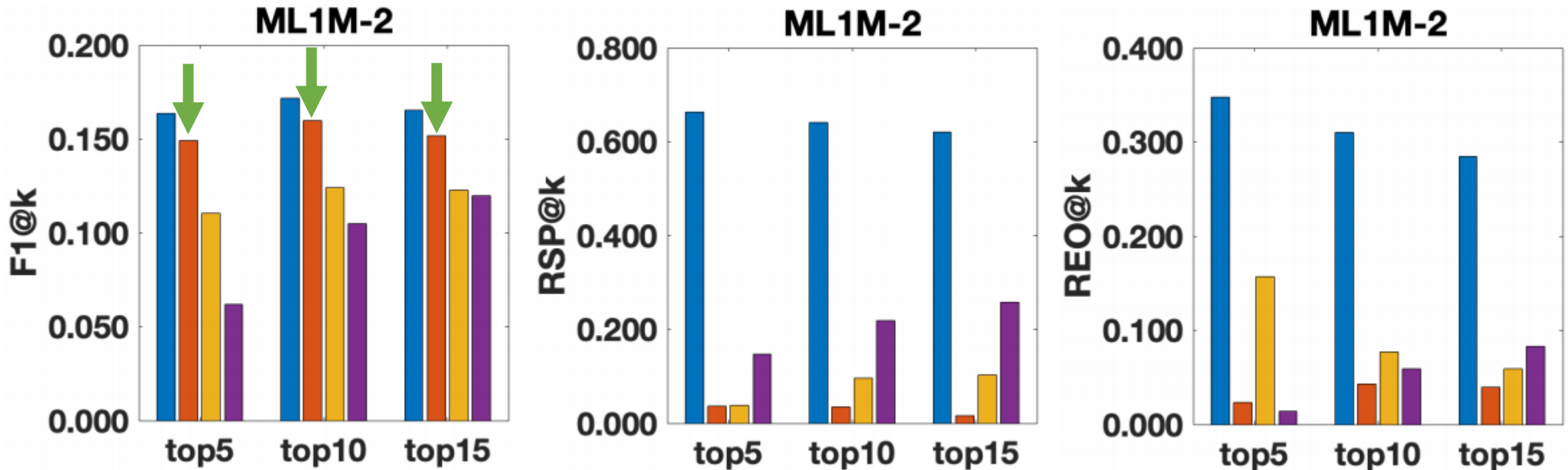


Experiments – compare with baselines



Experiments – compare with baselines

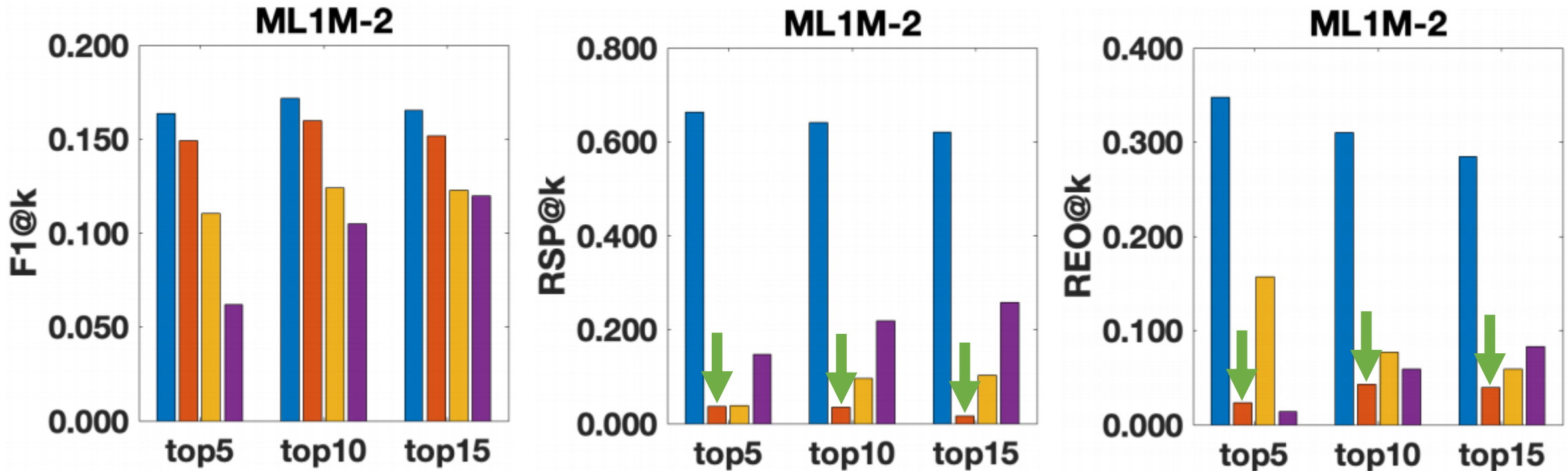
■ BPR ■ DPR ■ FATR ■ Reg



Proposed model **preserves high recommendation quality**, and enhance **RSP and REO fairness** effectively!

Experiments – compare with baselines

■ BPR ■ DPR ■ FATR ■ Reg



Proposed model **preserves high recommendation quality**, and enhance **RSP and REO fairness** effectively!

Experiments – more in the paper

More experimental details and results can be found in the paper, including:

- Detailed experiment setup;
- Experiments on other datasets;
- Experiments for ablation study;
- Experiments for hyper-parameter study;
- Experiments with multi-group datasets;

Conclusions

- Propose two **ranking-based** under-recommendation bias **metrics**;
- Propose an **adversarial learning based model** which can mitigate the two studied recommendation bias;
- Experiments show the existence of bias in widely used BPR model, and show the **effectiveness** of the proposed debiasing model.

Thank You!

Ziwei Zhu, Jianling Wang, and James Caverlee
Texas A&M University

